

CUNY Export Control Preliminary Evaluation Form

Please complete the attached form, answering the questions using the [CUNY Export Compliance Overview](#) as a guide, and return the completed form and this signed certification to SPaR@sph.cuny.edu at least 10 business days before any proposal containing international components is to be submitted. More information on Export Control is available here: <http://www2.cuny.edu/research/research-compliance/export-control/>

PROJECT DETAILS

Project Title: _____

Proposed Start/End Dates: _____

Sponsor: _____

PI: _____

Brief Project Description/Scope of Work:

List all individuals and organizations involved by country. For individual collaborators, list full name and country of citizenship. For institutional collaborators, list the formal name of the institution and the country of business:

List all transactions anticipated (funding, payments, exchange of materials (specify), exchange of data, etc.):

Travel plans, if any: _____

Project Status:

Ongoing

New

Proposal Stage

Amendment

Other (specify): _____

Any anticipated publication and/or citizenship restrictions?

Do you have any foreign financial interests that may reasonably be related to your institutional responsibilities?

Do you have any affiliations with foreign institutions of higher education?

CERTIFICATION

As PI of the above-named project, I certify that the responses on the attached CUNY Export Control Preliminary Evaluation Form are true and complete to the best of my knowledge.

PI Signature

Date

CUNY Export Control Preliminary Evaluation Form

CUNY Export Control Overview and list of Export Control Administrators are available on the Research Compliance web site at <http://www.cuny.edu/research/compliance/Export-Control.html>.

1. Please answer the following questions, using the CUNY Export Compliance Overview as a guide, to perform a preliminary evaluation of whether a project may be subject to export control regulations; in making this evaluation, be sure to consider whether any of the issues mentioned below are addressed in the related grant, contract, MTA, MOU or NDA .

	Yes	No
Project documents mention U.S. export control regulations	<input type="radio"/>	<input type="radio"/>
Sponsor restricts non-US entity participation	<input type="radio"/>	<input type="radio"/>
Sponsor prohibits access by non-US persons to project information	<input type="radio"/>	<input type="radio"/>
Sponsor prohibits the hiring of non-US persons	<input type="radio"/>	<input type="radio"/>
Project involves international transfer of controlled items or data	<input type="radio"/>	<input type="radio"/>
Sponsor claims that the results are proprietary	<input type="radio"/>	<input type="radio"/>
You expect the physical export of controlled items or information	<input type="radio"/>	<input type="radio"/>
You expect to travel internationally as part of your responsibilities for the project	<input type="radio"/>	<input type="radio"/>
You expect digital or electronic transmission of controlled data to a foreign destination	<input type="radio"/>	<input type="radio"/>
Sponsor requires publication restrictions for reasons other than patent filing or proprietary information	<input type="radio"/>	<input type="radio"/>
Project documents indicate that export-controlled information or technology will be furnished for use in the project	<input type="radio"/>	<input type="radio"/>
Project will involve use of advanced cryptographic technology including access to source code for cryptography and/or technical data to develop the source code	<input type="radio"/>	<input type="radio"/>

If you have checked yes to any of the above, please contact your College's Export Control Administrator for additional review and evaluation.